

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque Pictet & Cie SA

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Banque Pictet & Cie SA  
Att : David Aeschlimann  
Route des Acacias 60  
1211 Geneva 73

Phone: +41.58.323.2197

Last Four Digits of Acct #: EOC 93010

HSBC Private Bank (Suisse) S.A.

Name of Transferor

Court Claim # (if known): 51762

Date Claim Filed: 10/28/2009

Amount of Claim: USD 83'436.34

Portion of Claim Transferred (see  
Schedule I): Nominal EUR 70'000.00

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: BANQUE PICTET & CIE SA

Transferee/Transferee's Agent

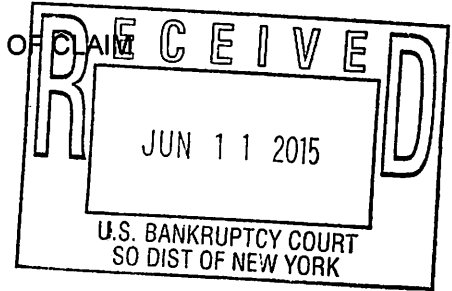
Date: June 1st, 2015

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Mélanie PICHONNAZ

David AESCHLIMANN

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM  
LEHMAN PROGRAM SECURITY



TO: THE DEBTOR AND THE BANKRUPTCY COURT

For value received, the adequacy and sufficiency of which are hereby acknowledged, HSBC PRIVATE BANK (SUISSE) S.A., Geneva ("Transferor") unconditionally and irrevocably transferred to BANQUE PICTET & CIE SA ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 51762), the nominal amounts and relating securities with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this day of:

Date: June 1st, 2015

Date: June 1st, 2015

**Transferor**

**Transferee**

HSBC PRIVATE BANK (SUISSE) S.A.

BANQUE PICTET & CIE SA

Quai des Bergues 9 – 17 / P.O. Box 2888


Route des Acacias 60

CH- 1211 Geneva 1

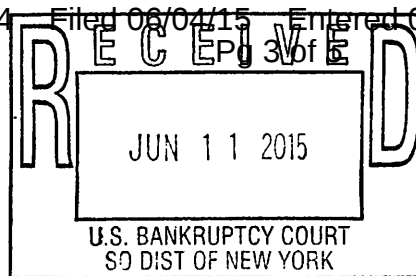
CH – 1211 Geneva 73

Signature:  Sandrine Zahler  
5184

Signature:  David AESCHLIMANN

Signature:  Giorgio Gagliani  
Associate Director

Signature:  Melanie PICHONNAZ



Schedule 1

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Currency and nominal amount or Units
XS0276072682	51762	10/28/2009	LEHMAN BROTHERS TREASURY BV	EUR 70'000.-

BANQUE PICTET & CIE SA

Route des Acacias 60  
1211 Genève 73  
Suisse  
TÉL +41 (0)58 323 2323  
FAX +41 (0)58 323 2324

www.pictet.com



By registered mail

Lehman Brothers Holdings Claims Processing  
Center  
c/o EPIQ BANKRUPTCY SOLUTIONS, LLC  
FDR Station, P.O. Box 5076  
New York, NY 10150-5076  
USA

Geneva, 02.06.2015  
Our ref: 2197/DA

**Re :** Lehman Brothers Holding Inc., et al., Debtors  
Chapter 11, Case No. 08.13555 (JMP) (Jointly Administered)  
**TRANSFER OF CLAIM**

Dear Sirs,

Acting as authorised representatives of Banque Pictet & Cie SA, we are pleased to request the transfer of a portion of the claim number 51762 filled in the name of HSBC Private Bank (Suisse) S.A. (Transferor) to Banque Pictet & Cie SA (Transferee).

In order to support our transfer request, please find enclosed herewith the following documents duly completed and signed by the Transferor and/or the Transferee:

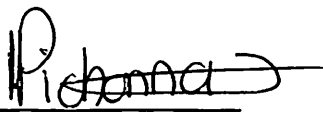
- Form 210A duly signed by the Transferee;
- Evidence of Transfer of Claim duly signed by the Transferor;
- Schedule 1 to the Evidence of Transfer of Claim

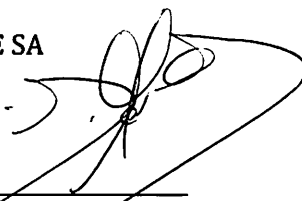
We would be much appreciative if you could acknowledge receipt of the present request and confirm the transfer, either by email (daeschlimann@pictet.com), fax (+41583232050), telephone (+41583232197) or post mail to the attention of Mr. David Aeschlimann.

Should you need any further information, please do not hesitate to contact the above-named.

Yours sincerely,

BANQUE PICTET & CIE SA

  
Mélanie Pichonnaz

  
David Aeschlimann

www.dhl.com

EXPRESS 12:00 DHL EasyShip U.S. 12	TDI FLIGHT RECORD	<b>DHL</b>
FROM: Banque Paribas & Cie SA Route des Anches 61 1211 GENEVE 19 SWITZERLAND	JUN 04 2015	Origin: GVA
GoGreen EMS		

TO: LEHMAN BROTHERS HOLDING CLAIMS PROCESSING CENTER C/O EPIQ BANKRUPTCY SOLUTIONS FOR STATION PO BOX 5078 10150 NEW YORK, NEW YORK UNITED STATES OF AMERICA	<b>TD</b>
<b>US-ZYP</b>	

Account: 150437054 Shipment Ref: P1-1910 Content: Business Document	Shipment Weight: 0.50kg Date: 2015-06-02	Place: 1/1 Day Time X12
---	---	-------------------------------

		
---	--	--

EXPRESS 12:00 10150 NEW YORK, United States JFKL US-ZYP-TSS	TDI FLIGHT RECORD	<b>DHL</b>
Account No. 150437054 Ref code P1-1910	Date: 2015-06-02	Place: 1/1 Day Time X12

		
WAYBILL 71 8130 1110		

		
(2) 0510150 1 000000		

**SERVICE ALERT****DHL  
EXPRESS****12:00**